POST 2015: PROMOTING CAPITAL MARKETS THAT INTEGRATE SUSTAINABLE DEVELOPMENT

Ms. Adeline Diab
Head of Responsible Investment Integration
March 2014
Buy-Side: flows of capital into the markets
Sell-Side: flows of capital into corporate assets & operations
Sell-Side: flows of capital into corporate assets & operations

Banking System
Bond Markets
Stock Markets
Commodity Markets
Currency Markets
Derivatives Markets
Property Markets

Workers

Listed Companies

Projects / Asset Investments & Operations

Human Rights
Labour Standards
Business Ethics

Capital Markets

Sell-Side Brokers
Buy-Side Fund Managers

Investment Consultants

Asset Owners

Individuasl

Retail Financial Advisors
Influenced by civil and regulatory pressure and rules
All Embedded in the Natural Environment
A Few Players Control Much of the Flow

1. Best Broker Overall (Extel, 2012)
   1. UBS
   2. Bank of America Securities
   3. Merrill Lynch
   4. Morgan Stanley
   5. Deutsche Bank
   6. Citi

2. Top 5 Investment Consultant (ehow, 2010)
   1. Hewitt EnnisKnupp
   2. Mercer Investment Consulting
   3. Russell Investments
   4. Towers Watson
   5. Cambridge Associates LLC

3. Top 5 UK Pension Managers (2010)
   1. Legal & General Investment Mgmt.
   2. BlackRock
   3. Insight Investment Management (Global)
   4. Standard Life Investments
   5. State Street Global Advisors

4. Asset Management Companies
   1. BlackRock Inc.
   2. UBS
   3. Allianz Group
   4. Vanguard Group Inc.
   5. State Street Global Advisors (SSGA)

5. Asset Owners

6. Retail Financial Advisors

7. Stock Exchanges

8. List of Companies

9. Sell-Side Brokers

10. Buy-Side Fund Managers

11. Asset Owners

12. Investment Consultants

13. Workers

14. Retail Financial Advisors

15. Listed Companies

16. Projects / Asset Investments & Operations

17. Human Rights

18. Labour Standards

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